

**FORM PTO-1082****TRANSMITTAL FOR NEW U.S. PATENT APPLICATION**

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

**BOX APPLICATIONS**

Re: New U.S. Patent Application  
For: SAFETY DEVICE FOR USE WITH A VIAL  
Inventor(s): David R. MacLean  
Attorney Docket: 0100/0091

Sir:

Attached hereto is the application identified above, including 20 pages of textual specification including 21 claims, and 4 sheets of drawings.

The Government filing fee is calculated as follows:

(Col 1)		(Col 2)		(Col 3)	SMALL ENTITY		OR	NON-SMALL ENTITY	
NO. FILED				NO. EXTRA	RATE	FEE		RATE	FEE
TOTAL	21	minus	20	1	x9=	0		x18=	\$18
INDEP	4	minus	3	1	x39=	0		x78=	\$78
_ First Presentation, Multiple Dependent Claims					+130=	0		+260=	\$
Base Filing Fee						\$345			\$690
TOTAL FILING FEE* (accounting for possible small entity status)						\$	OR TOTAL		\$786

☐ Reduced by one-half, as applicant(s) is/are a "small entity". A Declaration Claiming Small Entity Status:

- ☐ is filed herewith;  
☐ will be filed at a later date;  
☐ was filed in the parent application.

☐ Foreign priority is claimed under 35 U.S.C. § 119 from Patent Application(s) No. \_\_\_\_\_ dated \_\_\_\_\_

- ☐ Priority document(s) will be submitted at a later date.  
☐ Priority document(s) is/are submitted herewith.

[XX] There is no claim to foreign priority under 35 U.S.C. § 119.

- [XX] Executed Declaration(s) is/are submitted herewith.
- [ ] Executed Declaration(s) will be submitted at a later date pursuant to 37 CFR § 1.41 and § 1.53, with an appropriate surcharge under 37 CFR § 1.16(e).
- [ ] Formal drawing(s) is/are attached.
- [XX] Formal drawing(s) will be submitted at a later date.
- [ ] An Information Disclosure Statement, PTO-1449 and reference(s) cited therein is/are submitted.
- [XX] Assignment document(s) is/are submitted herewith, along with Form PTO-1595; the recordation fee of \$40.00 per document is enclosed herewith.
- [XX] A check in the amount of \$ 826.00 is enclosed. The Commissioner is hereby authorized to charge any deficiency under 37 CFR §§ 1.16 or 1.17, or credit any overpayment, to Deposit Account No. 50-0501. A duplicate copy of this form is attached.
- [ ] No payment is enclosed at this time. Full payment will be made when the executed Declaration is submitted.
- [XX] The Commissioner is hereby authorized to charge any fee deficiency, except the filing fee, *during the entire pendency of the present application*, or credit any overpayment, to Deposit Account No. 50-0501. A duplicate copy of this Form is enclosed.

Respectfully submitted,



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